Monitoring, surveillance and evaluation of a food fortification program

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with thanks to Ibrahim Parvanta
POPULATION LEVEL MONITORING, SURVEILLANCE & EVALUATION “below the dotted line”

Adapted from WHO/FAO. Guidelines on food fortification with micronutrients. Geneva, Switzerland 2006
Important pre-conditions before moving “below the dotted line”
Conditions for Successful Wheat Flour Fortification

1. Sufficient and regular per capita consumption of wheat flour products by target population.
2. Industrially produced “fortifiable” wheat flour is widely marketed (i.e. “accessible”) nationally or sub-nationally.
3. Legislation on mandatory fortification of all “fortifiable” wheat flour (low & high extraction) adopted and enforced.
4. Wheat flour mills implement QA processes, and food control agency has QC and regulatory monitoring system to ensure that quality fortified flour is produced and marketed.

5. For iron nutrition, only NaFeEDTA, ferrous sulfate, ferrous fumerate or electrolytic iron are used as fortificants – not atomized or hydrogen reduced iron.

6. Public health communication strategy is implemented to promote consumer acceptance of fortified wheat flour.
What is the difference between Flour Fortification Program - Monitoring - Surveillance - Evaluation
Flour Fortification Program Monitoring

• The ongoing and systematic collection and analysis of data and interpretation and use of the resulting trend information on program inputs, implemented activities, and outputs to assess how a flour fortification program is performing compared to predefined criteria.

  – QAQC or regulatory monitoring is an essential part of programme monitoring
  – tracking the quantity and sufficient population coverage of adequately fortified flour serves as output indicator
Flour Fortification Surveillance

• The ongoing and systematic collection, analysis, and interpretation of data and dissemination of the trend information on micronutrient and health status of a population with regular access to fortified flour, to help strengthen and sustain a flour fortification program as impact indicators.

  – iron and folate nutrition and NTD incidence are impact indicators.
Flour Fortification Programme Evaluation

• Is the systematic collection and analysis of data and information about the activities, characteristics, and impact of the flour fortification program to assess (and improve) its effectiveness and inform decisions about its continuation or expansion.

  – Surveillance data and information informs program evaluation.
  – Additional data (quantitative and/or qualitative) may need to be collected; e.g. a population-based statistical survey.
  – Programme evaluations may be conducted every 5 – 10 years.
Often used M&E model for a Flour Fortification Programme

- Pre-fortification nutrient deficiency
- Baseline survey
- QAQC in place in the mills
- Start of mandatory flour fortification
- Regulatory staff trained and regulatory monitoring operational
- Impact Evaluation surveys

TIME ~ 5-10 yrs.
Flour fortification must be continued indefinitely to achieve maximum sustained impact on the nutritional and health status of the population.

Declining trends in anemia prevalence in low-income children <5 years old in five states in the United States.

Trends in the incidence of spina bifida and other birth defects in Oman.


Source: Personal communication; Ms. Deena Alasfoor, Oman Director of Nutrition
Introducing FORTIMAS, a monitoring and surveillance tool

• A tool to help you to track trends in the effectiveness of a flour fortification programme over time in populations documented to regularly consume fortified flour

• Not a tool to provide statistically representative estimates of the prevalence or incidence of micronutrient deficiencies in the population at a point in time.
Why use FORTIMAS?

• FORTIMAS helps you answer the BIG QUESTION: “Is the micronutrient status of those who regularly consume sufficient quality fortified flour improving?”
• It helps you ascertain if the programme performs as expected, or if it needs some modifications.
• It offers flow charts to help you see what stage the program is at and what steps you still need to take.
• It protects you against looking for impact before there can be any, or where there cannot be any.
• It helps you to track trends in the prevalence of micronutrient deficiencies over a number of years until maximum impact is achieved in a less costly manner than on-going national surveys.
FORTIMAS uses Sentinel Data Collection and Purposive and Convenience Sampling Approaches
“Sentinel” refers to “watching over”

- Sentinel data collection involves purposively selecting a few communities within a larger geographic area (expected to have high population coverage) as **sentinel data collection sites**
- Existing health clinics, schools, worksites, houses of worship, etc. within each **sentinel site** could serve as **data collection points**.

Once FORTIMAS documents the desired trends in population and impact, more detailed assessment and evaluation of the flour fortification program could be performed.
FORTIMAS uses different data sources to triangulate the info from each to create a more complete picture.
Flour industry & Food Control Agency data

1. Millers and importers – amount of fortified flour produced and imported.
2. Food Control Agency – quantity of fortified flour which meets quality standards

Population-level data

1. Women’s awareness of fortified flour.
2. Women’s reported purchases of fortified flour/staple foods.
3. Anemia, iron deficiency & folate sufficiency prevalence in non-pregnant women.

Health Clinics

1. Anemia prevalence in 1st trimester pregnant women.
2. Anemia, iron deficiency & folate sufficiency prevalence in adolescent school girls.
3. NTD incidence among maternity facility births

Schools, wholesalers, bakeries, supermarkets

Secondary schools, maternity hospitals/birth centers
- Micronutrient deficiency prevalence … often based on representative population survey
- FORTIMAS system - Micronutrient deficiency indicator prevalence
- FORTIMAS system - % “expected” population coverage based on industry data; ≥80% household coverage also confirmed through sentinel site monitoring

80% coverage threshold

QA/QC system in place in the mills

Monitoring “Expected” Population Coverage

Initial impact detected

Annual Impact Surveillance

Impact evaluation surveys done after M&S system documents the expected population coverage and impact trends

Regulatory staff trained and QC monitoring activities operational

TIME ~ 5-10 yrs.

Pre-flour fortification nutrient deficiency survey

Start of mandatory flour fortification and M&S system

~1 year periods with ≥80% household/population coverage of fortified flour
• You can access FORTIMAS on-line at www.smarterfutures.net/FORTIMAS
• The webpage gives guidance on how to use FORTIMAS
• You can download the entire document or download specific chapters
• You can download and use the interactive data sheets
• You can link to the WHO/CDC/ICBD tool for NCD surveillance
• Feel free to print copies!
• For more information: info@smarterfutures.net
FORTIMAS: An Approach for Tracking the Population Coverage and Impact of a Flour Fortification Program

How to use FORTIMAS?

The primary aim of the guide is to propose a population-level data collection approach to help answer the question, “is the micronutrient status of those who regularly consume sufficient quality fortified flour improving?” During the planning stages of FORTIMAS, it may be useful to “work backwards” from the ultimate aim and review the issues that need to be addressed to achieve it. Flow Diagram 1 (see below) illustrates this approach. Also, keep in mind that Box 1 (see below) lists the essential preconditions for an effective flour fortification program that must be met before embarking on collecting primary data or using existing data to track the population coverage and impact of the intervention.